



Attorney Docket No. 47004.000030

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UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application Of:

Barton P. BARRY, et al.

Application Number: 09/391,460

Confirmation Number: 8639

Filed: September 8, 1999

For: Financial Advice and Strategy
System

Group Art Unit: 3628

Examiner: Frantzy POINVIL

RESPONSE UNDER 37 C.F.R. § 1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

This reply is responsive to the Office action mailed November 10, 2003 (Office action). Any fees that may be due may be charged to Deposit Account No. 50-0206.

Reconsideration and allowance of the above captioned application is requested in view of the following remarks. Claims 1-16 remain pending in this application. The Office action includes rejections of claims 1-16 under 35 U.S.C. § 103(a). Applicants fully respond to these rejections as follows.

I. REMARKS

Claims 1-16 are rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent 6,315,196 issued to Richard Bachman (Bachman). The Office action states: "all the claimed features are substantially taught by Bachman." Applicants respectfully disagree for the reasons set forth below.

In the Office action it is acknowledged: "Bachman does not specifically teach the use of a graphical user interface or the displaying of different types of icons illustrating a financial situation." "The Examiner asserts that the use of graphical user interface including windows, icons and images or graphics are well known in the art." Office action at 2. Applicants assert that the configuration of graphical user interfaces (GUIs) having the characteristics set forth by